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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

RUDOLF PFAENDNER

APPLICATION NO: 10/037,543

FILED: NOVEMBER 9, 2001

FOR: FUNCTIONALISED POLYNMERS

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached forms PTO-1449. These references are of record in parent application Serial No. 09/284,840 filed April 21, 1999 and copies are available therein.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 forms.

Respectfully submitted,

Ciba Specialty Chemicals Corporation Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005 (914) 785-7124

DRC/am/

Encl. PTO-1449 Forms

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